08-01789-cgm Doc 1819 Filed 01/22/10 Entered 01/22/10 14:49:04 Main Document Pg 1 of 20

Max Folkenflik, Esq.

FOLKENFLIK & McGERITY

1500 Broadway

21<sup>st</sup> Floor

New York, New York 10036

(212) 757-0400

mfolkenflik@fmlaw.net

Attorneys for Claimants

UNITED STATES BANKRUPTCY COURT SOUTHERN DISTRICT OF NEW YORK

SECURITIES INVESTOR PROTECTION CORPORATION,

Plaintiff,

Vs.

BERNARD L. MADOFF INVESTMENT SECURITIES, LLC,

Defendant.

Adv. Pro. No. 08-01789 (BRL)

SIPA Liquidation

SUPPLEMENT TO THE **OBJECTION OF INVESTORS** LISTED ON SCHEDULE ATTACHED HERETO TO TRUSTEE'S DETERMINATION OF CLAIM AND REQUEST FOR A HEARING

The Investors listed on the Amended Schedule of Claimants attached hereto (collectively "Claimants"), by their attorneys Folkenflik & McGerity, hereby supplement their objection to the Notice of Trustee's Determination of Claim dated on or after December 8, 2009, sent by Irving H. Picard and state as follows:

The supplement to Claimants' Objection is an Amended Schedule of Claimants which now includes substantially all claims numbers for the Claimants in question. Several formerly objecting Claimants have been intentionally deleted. Claimants respectfully request this Amended Schedule of Claimants be recognized with their Objection in lieu of the original Schedule of Claimants.

Dated: New York, New York January 22, 2010

Respectfully submitted,

By:

Max Folkenflil

FOLKENFLIK & McGERITY

1500 Broadway, 21st Floor

New York, New York 10036

(212) 757-0400

Attorneys for Claimants

{10449050:2}

| SIPC Claim # |   | Address  | Fund                           |
|--------------|---|--|--------------------------------|
| #00000       | Barry & Jane<br>Golub aka Jane          | 7 Beach Drive<br>Lloyd Harbor, NY                            | Andover Associates             |
| #003982      | Golub                                   | 11743<br>900 Grove Street                                    | (QP) LLC                       |
| # 005581     | lles Cooper                             | Orwigsburg, PA<br>17961                                      | Andover Associates (QP) LLC    |
| # 004826     | John Feldman<br>IRA Rollover            | 1 Normandy Court<br>Ho-Ho-Kus, NJ<br>07423                   | Andover Associates (QP) LLC    |
|              | Louis Levin SEP                         | 400 West End<br>Avenue, PH B<br>New York, NY                 | Andover Associates             |
| # 003092     | IRA                                     | 10024<br>4436 E. Maderos                                     | (QP) LLC                       |
| # 006573     | Nathaniel<br>Fastenberg IRA<br>Rollover | Del Cuenta Drive<br>Paradise Valley,<br>AZ 85253-4027        | Andover Associates (QP) LLC    |
| #005617      | Ricelle Grossinger                      | 215 East 68th<br>Street, #22D New<br>York, NY 10065-<br>5728 | Andover Associates<br>(QP) LLC |
| # 004126     | Stephen Solomon<br>IRA Rollover         | 125 East 84th<br>Street, #3A New<br>York, NY 10028           | Andover Associates<br>(QP) LLC |
| # 003376     | Barry Peters IRA                        | 680 Harbor Street,<br>Unit 6 Venice, CA<br>90291             | Andover Associates             |
| # 003378     | Barry Peters Roth                       | 680 Harbor Street,<br>Unit 6 Venice, CA<br>90291             | Andover Associates<br>LLC I    |
| # 005929     | Brian Miller IRA<br>Rollover            | 685 Deer Path<br>Lane Yardley, PA<br>19067                   | Andover Associates             |
|              | Charles J Hecht                         | 275 Madison<br>Avenue, 28th Floor<br>New York, NY<br>10016   | Andover Associates             |
| # 002965     | Edward Marshak<br>IRA                   | 2 Continental<br>Court Chestnut<br>Ridge, NY 10977           | Andover Associates<br>LLC I    |
|              |   | 600 Northern<br>Boulevard, Suite<br>216 Great Neck,          | Andover Associates             |
| # 004818     | Eric Shakin Estelle                     | NY 11021<br>29 Ridge Drive<br>West Roslyn, NY                | LLC I Andover Associates       |
| # 004026     | Rubenstein IRA                          | 11576  | LLC I                          |

# **Amended Schedule of Claimants**

| SIPC Claim #                  | Account Name  | Address  | Fund                        |
|-------------------------------|---|--|-----------------------------|
| #003205<br>#003202<br>#003201 | FM Low Volatility<br>Fund, LP                                   | 485 Madison<br>Avenue, 19th Floor<br>New York, NY<br>10022             | Andover Associates<br>LLC I |
| # 003941                      | IRA FBO Jerome<br>Leslie Deceased<br>FBO Carole Beth<br>Feinsod | 4F Nassau Drive<br>Great Neck, NY<br>11021                             | Andover Associates<br>LLC I |
| # 006337                      | Jodi Fleisig  | 590 Telford Place<br>Atlanta, GA 30342                                 | Andover Associates          |
| # 006654                      | Joseph Nawy IRA   | 150 Nassau<br>Street, Apt. 13C<br>New York, NY<br>10038                | Andover Associates          |
| # 003132                      | Trust UWO Henry<br>Bass - Lawrence<br>Bass, Trustee             | 11 East 86th<br>Street, Apt. 11A<br>New York, NY<br>10028-0501         | Andover Associates          |
| # 004195                      | Michael Russell<br>IRA Rollover                                 | 1829 Queen Anne<br>Avenue N, Unit B<br>Seattle,<br>Washington<br>98109 | Andover Associates          |
| # 005527                      | Norma Drew IRA  | 15 Rocaton Road<br>Darien, CT 06820                                    | Andover Associates<br>LLC I |
| # 009593                      | Patricia G<br>Hambrecht IRA<br>Rollover                         | 60 East End<br>Avenue, Apt. 31A<br>New York, NY<br>10028-7946          | Andover Associates<br>LLC I |
| # 004675                      | Paul K Roonery<br>IRA Rollover                                  | 153 East 53rd<br>Street, 54th Floor<br>New York, NY<br>10022           | Andover Associates          |
| # 003381                      | Peter &<br>Jacqueline Levy<br>JT                                | 2298 Northwest<br>62nd Drive Boca<br>Raton, FL 33496                   | Andover Associates<br>LLC I |
| # 006997                      | Phyllis Sherman<br>SEP IRA                                      | 1623 Third<br>Avenue,<br>Apartment 4K New<br>York, NY 10128            | Andover Associates          |
| # 003221                      | Renee Roseman   | 1601 Fairfield<br>Beach Road<br>Fairfield, CT<br>06824                 | Andover Associates<br>LLC I |

| SIPC Claim #        |  | Address   | Fund                        |
|---------------------|--|---|-----------------------------|
|                     | Robin Mathes Revocable Trust - Robin Mathes,   | 12313 13th Helena<br>Drive Los Angeles,                                 | Andover Associates          |
| # 005595            | Trustee  | CA 90049  | LLCI                        |
|                     |  | 111 East 85th<br>Street, Apt 14A<br>New York, NY                        | Andover Associates          |
| # 009135            | Sherry Cohen IRA   |   | LLC I                       |
|                     | Silver Vista LLC -<br>Andrea Tessler,  | 2500 North Moose-<br>Wilson Road  | Andover Associates          |
| # 003212            | Manager  | Wilson, WY 83014<br>159A East 94th                                      | LLC I                       |
| # 007089<br>#003304 | Susan K<br>Finesman  | Street New York,<br>NY 10128  | Andover Associates<br>LLC I |
| # 070079            | Valerie Salembier<br>IRA Rollover  | 215 East 68th<br>Street, Apt. 33C<br>New York, NY<br>10065              | Andover Associates<br>LLC I |
| # 004834            | William Shakin<br>Trust - Dorothy,<br>Jeffrey, and Eric<br>Shakin, Trustees                            | 26910 Grand<br>Central Parkway,<br>Apt 9k Floral Park,<br>NY 11005-1009 | Andover Associates<br>LLC I |
| #015065             | Lori Suser<br>Beneficiary IRA<br>Pershing LLC as<br>Custondian<br>invested with FM<br>Low Vol Fund, LP | 231 Everit Avenue<br>Hewlett Harbor,<br>NY 11557                        | Andover Associates<br>LLC I |
| #004947             | 05/15/54 Trust<br>FBO Laura<br>Scheuer - Peter<br>Joseph &<br>Elizabeth<br>Scheuer, Trustees           |   | Beacon Associates<br>LLC I  |
| # 003286            | Aaron Ziegelman  | 290 West End<br>Avenue, Apt 16C<br>New York, NY<br>10023                | Beacon Associates           |
| # 004833            | Adler Family Partnership - Nancy Manket, Managing Director   | 50 Sequoia Drive<br>Watchung, NJ  | Beacon Associates           |

|              | 1   | 1   |                            |
|--------------|---|---|----------------------------|
| SIPC Claim # | Account Name  | Address   | Fund                       |
| # 006083     | AGV Partners -<br>Gianluigi Vittadini,<br>Adrienne Vittadini,<br>Seymour Zises,<br>Trustees | 1115 Fifth Avenue,<br>#9A New York, NY<br>10128           | Beacon Associates          |
| #005553      | Alex Kwader IRA<br>Rollover   | 49 Overlook Drive<br>Chesterfield, NH<br>03443            | Beacon Associates          |
| # 004879     | Alexander E.<br>Fisher  | 880 Third Avenue,<br>14th Floor New<br>York, NY 10022     | Beacon Associates<br>LLC I |
| # 005951     | Alfred Kaltman<br>IRA Rollover  | 132 East 76th<br>Street New York,<br>NY 10021             | Beacon Associates<br>LLC I |
| # 003361     | Alisa Fastenberg  | 140 East 92nd<br>Street, 3N New<br>York, NY 10128         | Beacon Associates<br>LLC I |
| # 003872     | Allan Arker   | 804 Porter Place<br>Woodmere, NY<br>11598                 | Beacon Associates<br>LLC I |
| # 003867     | APJM Partners<br>LLC - Ari Kiev &<br>Phyllis Kiev,<br>Managers                              | _   | Beacon Associates          |
| # 003214     | Arthur & Barbara<br>Harris JT   | 5866 Indian Crest<br>Circle Westlake<br>Village, CA 91362 | Beacon Associates<br>LLC I |
| # 004985     | Barbara Grill   | 156 East 79th<br>Street New York,<br>NY 10021             | Beacon Associates<br>LLC I |
|              | Benjamin<br>Jagendorf IRA<br>Rollover   | 100 Buckingham<br>Road Brooklyn,<br>NY 11226              | Beacon Associates<br>LLC I |
| # 004886     | Bert Moredia &<br>Ann Moreida<br>JTWROS   | 330 Dolphin Drive<br>Hewlett Neck, NY<br>11598            | Beacon Associates<br>LLC I |
| # 003143     | Beverly Feinberg-<br>Moss IRA Rollover  |   | Beacon Associates<br>LLC I |
| # 003876     | Bradley Tolkin IRA<br>Rollover  | NY 11545  | Beacon Associates<br>LLC I |
| # 005602     | Bruce A. Ray &<br>April Rubin JT  | 636 A Street NE<br>Washington, DC<br>20002                | Beacon Associates<br>LLC I |

| ••••            |                    | <u>-</u>           |                   |
|-----------------|--------------------|--------------------|-------------------|
| SIPC Claim #    | Account Name       | Address            | Fund              |
|                 |                    | 111 East 61st      |                   |
|                 |                    | Street New York,   | Beacon Associates |
| # 003464        | Bruce Slovin       | NY 10021           | LLC I             |
|                 | D, 400 0.01        | 80 White Oak       |                   |
|                 |                    | Tree Road          |                   |
|                 |                    | Syosset, NY        | Beacon Associates |
| # 005074        | Carol Levitt       | 11791              | LLC I             |
| # 005974        | Carol Levill       |                    | LLO I             |
|                 |                    | 1 Normandy Court   | D                 |
|                 |                    | Ho-Ho-Kus, NJ      | Beacon Associates |
| # 004827        | Cathy Feldman      | 07423              | LLC I             |
|                 | Charles King       |                    |                   |
|                 | Porter Trust -     | 3390 Mary Street,  |                   |
|                 | Charles King       | Office 300 Miami,  | Beacon Associates |
|                 | Porter, Trustee    | Florida 33133      | LLCI              |
|                 | Charles Weiss      | 101 Vintage Isle   |                   |
|                 | Revocable Trust -  | Lane Palm Beach    |                   |
|                 | Charles Weiss,     | Gardens, FL        | Beacon Associates |
| # 003261        | Trustee            | 33418              | LLC I             |
| <i>"</i> 000201 | Checker            | 00110              |                   |
|                 | Acquisition        |                    |                   |
|                 | Corporation -      | 2016 North Pitcher |                   |
|                 | Attention Mark     |                    | Beacon Associates |
| " 004040        |                    | Street Kalamazoo   |                   |
| # 004216        | Walburn            | MI 49007           | LLCI              |
|                 | Christopher Lee    | 131 Mountain       |                   |
|                 | Tessler & Patricia | Creek Road         |                   |
|                 | Kelly Tessler      | Poughquag, NY      | Beacon Associates |
| # 006957        | JTWROS             | 12570-4812         | LLCI              |
|                 | CISAM LLC - Attn:  |                    |                   |
|                 | Cindy & Sarah      | New York, New      | Beacon Associates |
| # 004121        | Sternklar          | York 10038         | LLC I             |
|                 |                    | 525 West 22nd      |                   |
|                 |                    | Street, #3D New    | Beacon Associates |
| # 006848        | Craig Harwood      | York, NY 10011     | LLC I             |
|                 | David & Leslie     |                    |                   |
|                 | Fastenberg TIC     | 92 Wheatley Road   |                   |
|                 | aka David          | Old Westbury, NY   | Beacon Associates |
| #015455         | Fastenberg         | 11568              | LLCI              |
| 7010100         | ractorisorg        | 1 Old Lyme Road    |                   |
|                 | David & Linda      | Scarsdale, NY      | Beacon Associates |
| # 006667        | Walke JT           | 10583              | LLC I             |
| # 000001        | AAGIVE 21          |                    | Beacon Associates |
| # 002200        | David Dahart       | 8 Hook Road Rye,   | LLC I             |
| # 003200        | David Robert       | NY 10580           | LLO I             |
|                 | D 1100 "           | 55 Arrowhead       | D                 |
|                 | Donald & Cathy     | WayDarien, CT      | Beacon Associates |
| # 005524        | Allman             | 06820              | LLCI              |
|                 |                    | Olympic Tower      |                   |
|                 |                    | 641 Fifth Avenue   |                   |
|                 | Donald Kreindler   | New York, NY       | Beacon Associates |
| # 008624        | IRA Rollover       | 10022              | LLCI              |

|                      |                    | <del></del>        |                   |
|----------------------|--------------------|--------------------|-------------------|
| SIPC Claim #         | Account Name       | Address            | Fund              |
|                      |                    | Olympic Tower      |                   |
|                      |                    | 641 Fifth Avenue   |                   |
|                      | Donald Kreindler   | New York, NY       | Beacon Associates |
| #006860              | IRA Rollover       | 10022              | LLC I             |
|                      |                    | 155 West 68th St.  |                   |
|                      |                    | Apt. 29F New       | Beacon Associates |
| # 004869             | Eduardo Abush      | York, NY 10023     | LLC I             |
|                      |                    | 1755 York          |                   |
|                      |                    | Avenue, #15G       |                   |
|                      | Eileen Shepard     | New York, NY       | Beacon Associates |
| # 005954             | IRA                | 10128              | LLC I             |
| # 000904             | II VA              | 10120              | LLOI              |
| 1                    | Elizabeth Reighley |                    |                   |
|                      | Trust - William    | DO Day 9070        |                   |
|                      |                    | PO Box 8070        | D                 |
|                      | Sullivan & John    | Jackson, WY        | Beacon Associates |
| # 007005             | Burk, Trustees     | 83001              | LLC I             |
|                      |                    | 150 East 69th      |                   |
|                      |                    | Street New York,   | Beacon Associates |
| # 004652             | Ellen Merio        | NY 10021           | LLC I             |
|                      |                    | 56 Huyler Landing  |                   |
|                      |                    | Cresskill, NJ      | Beacon Associates |
| # 002968             | Erv Magram         | 07626              | LLC I             |
|                      |                    | 56 Huyler Landing  |                   |
|                      |                    | Cresskill, NJ      | Beacon Associates |
| #002969              | Erv Magram IRA     | 07626              | LLC I             |
|                      | J                  | 485 Madison        |                   |
|                      | FM Multi Strategy  | Avenue, 19th Floor |                   |
|                      | Investment Fund,   | New York, NY       | Beacon Associates |
| # 003203             | LP                 | 10022              | LLC I             |
| # 003203             | LI                 | 165 East 72nd      | LLOT              |
|                      |                    | Street, Apt. 19E   |                   |
|                      |                    |                    | Doggan Associates |
| # 000004             |                    | New York, NY       | Beacon Associates |
| # 002981             | Francine Levine    | 10021              | LLC I             |
|                      |                    | 30 Rockefeller     |                   |
|                      | Gary & Suzanne     | Plaza New York,    | Beacon Associates |
| # 007101             | Zenkel JT          | NY 10112           | LLC I             |
|                      |                    | 907 Broadway, 5th  |                   |
|                      |                    | Floor New York,    | Beacon Associates |
| # 003146             | Gavin Cutler       | NY 10010           | LLC I             |
|                      |                    | 28 Wilton Hunt     |                   |
|                      |                    | Road Wilton, CT    | Beacon Associates |
| # 003888             | George Hoenig      | 06897              | LLC I             |
|                      |                    | 28 Wilton Hunt     |                   |
|                      | George Hoenig      | Road Wilton, CT    | Beacon Associates |
| # 003889             | SEP IRA            | 06897              | LLC I             |
|                      | H Richard          | 45 Joralemon       |                   |
|                      | Isaacson IRA       | Street Brooklyn,   | Beacon Associates |
| # 005971             | Rollover           | NY 11201-4003      | LLC I             |
| <del>π 0003/ 1</del> | IVOHOVEI           | 141 11201-4003     | LLUI              |

| SIPC Claim # | Account Name   | Address  | Fund                       |
|--------------|--|--|----------------------------|
|              | Harbor Acquisition II Corporation - David Fromer                                     | 169 East 69th<br>Street, #17B New<br>York, NY 10021                          | Beacon Associates          |
| # 003239     | Harvey A Heffner<br>IRA Rollover   | 885 Park Avenue,<br>#15B New York,<br>NY 10021                               | Beacon Associates<br>LLC I |
| #004977      | Howard Charles<br>Karawan<br>Revocable Trust -<br>Howard Charles<br>Karawan, Trustee | 24 Seneca Road<br>Sea Ranch Lakes,<br>FL 33308-2303                          | Beacon Associates          |
| # 003857     | Howard Liebman<br>IRA Rollover   | 1302 Azure Place<br>Hewlett, NY 11557  | Beacon Associates          |
| # 015452     | lan Moss &<br>Beverly Feinberg<br>Moss   | 10 Sea Rule Lane<br>Gloucester, MA<br>01930                                  | Beacon Associates<br>LLC I |
| # 015451     | lan Moss SEP IRA   |  | Beacon Associates<br>LLC I |
| # 005580     | lles Cooper &<br>Beverly Ginsburg<br>Cooper  | 900 Grove Street<br>Orwigsburg, PA<br>17961                                  | Beacon Associates<br>LLC I |
| # 002980     | Irwin Levine   | 165 East 72nd<br>Street, Apt. 19E<br>New York, NY<br>10021                   | Beacon Associates<br>LLC I |
| # 005841     | Isidore Mayrock<br>IRA Rollover  | 100 Quentin<br>Roosevelt<br>Boulevard, Suite<br>303 Garden City,<br>NY 11530 | Beacon Associates<br>LLC I |
| # 003903     | Jacqueline Adler<br>Walker   | PO Box 1109<br>Scarsdale, NY<br>10583  | Beacon Associates<br>LLC I |
| # 003199     | James & Shirley<br>Feigenbaum  | 12 Dressage Ct.<br>Cherry Hill, NJ<br>08003                                  | Beacon Associates<br>LLC I |
| # 003210     | Jason Rabin  | 31 West 34th<br>Street, 11th Floor<br>New York, NY<br>10001                  | Beacon Associates          |
| # 004836     | Jeffrey Shakin   | 600 Northern<br>Boulevard, Suite<br>216 Great Neck,<br>NY 11021              | Beacon Associates<br>LLC I |
| #015458      | Jeffrey Tolkin IRA<br>Rollover   | 33 Evans Drive<br>Brookville, NY<br>11545-3148                               | Beacon Associates<br>LLC I |

| SIPC Claim #        | Account Name  | Address  | Fund                       |
|---------------------|---|--|----------------------------|
|                     |   | 438 Links Drive  |                            |
|                     |   | North Hills, NY  | Beacon Associates          |
| # 004655            | Joan Ratner   | 11576  | LLCI                       |
| <del>" 00.000</del> | - Court (attro)   | 10 West 15th   |                            |
|                     |   | Street, Suite  |                            |
|                     |   | #1221 New York,  | <br> Beacon Associates     |
| # 006E90            | Joel Kalish IRA   | NY 10011   | LLC I                      |
| # 006580            | Joei Kalish IKA   |  | LLUI                       |
|                     |   | Department of  |                            |
|                     |   | Surgery Munger   | D                          |
|                     |   | Pavilion Valhalla,   | Beacon Associates          |
| # 006326            | John A Savino   | NY 10595   | LLC I                      |
|                     |   |  |                            |
|                     |   | 6000 Hollins   |                            |
|                     |   | Avenue Baltimore,  | Beacon Associates          |
| # 005613            | John Claster  | MD 21210   | LLC I                      |
|                     |   | 73 Croton Avenue,  |                            |
|                     |   | Suite 106  |                            |
|                     | John Ferrini SEP  | Ossining, New  | Beacon Associates          |
| # 015457            | IRA - JF Direct   | York 10562   | LLCI                       |
|                     |   | 73 Croton Avenue,  |                            |
|                     | John Ferrini SEP  | Suite 106  |                            |
|                     | IRA - Sources   | Ossining, New  | Beacon Associates          |
| # 015456            | Unlimited   | York 10562   | LLC I                      |
| # 006334            | Jordan Group,<br>LLC - Attn:<br>Bernard Eizen or<br>John Jordan | c/o Eizen, Fineburg, McCarty Two Commerce Square Suite 3410, 2001 Market Street Philadelphia, PA 19103 or 8111 Bay Colony Drive, Unit 1004 Naples, FL 34108 5261 | Beacon Associates<br>LLC I |
|                     |   |  |                            |
|                     | Ludu Dans - t - i -   | Independence   | Danna Assasists            |
| " 000004            | Judy Bernstein  | Avenue Riverdale,  | Beacon Associates          |
| # 003321            | Bunzi   | NY 10471   | LLC I                      |
|                     |   | 6276 South   |                            |
|                     |   | Rainbow  |                            |
|                     | KH Holdings LLC -   | Boulevard, Suite   |                            |
|                     | Michael Rea,  | 120 Las Vegas,   | Beacon Associates          |
| # 003223            | Manager   | NV 89118   | LLC I                      |
| -                   |   | 150 East 69th  |                            |
|                     |   | Street, apt. 4M  |                            |
|                     |   | New York, NY   | Beacon Associates          |
| # 006678            | Karin B. Stoller  | 10021  | LLC I                      |

| CIDO Olaina #    | A a a a una b l a una a | Address             | F                 |
|------------------|-------------------------|---------------------|-------------------|
| SIPC Claim #     | Account Name            | Address             | Fund              |
|                  |                         | 922 Mayfield Road   |                   |
|                  | _                       | Woodmere, NY        | Beacon Associates |
| # 005502         | Laurance Garber         | 11598               | LLC I             |
|                  |                         |                     |                   |
| •                | Leonard & Wendy         |                     |                   |
|                  | Goldberg 1983           |                     |                   |
|                  | Trust - Leonard &       | 235 Ladera Drive    |                   |
|                  | Wendy Goldberg,         | Beverly Hills, CA   | Beacon Associates |
| # 005603         | Trustees                | 90210               | LLCI              |
|                  | LJMMJ Investors         | 33 Evans Drive      |                   |
|                  | LLC - Laurie            | Brookville, NY      | Beacon Associates |
| # 003207         | Tolkin, Manager         | 11545-3148          | LLC I             |
|                  | Long Island Vitreo-     |                     |                   |
|                  | Retinal                 |                     |                   |
|                  | Consultants 401K        | 92 Wheatley Road    |                   |
|                  | FBO David               | Old Westbury, NY    | Beacon Associates |
| # 004851         | Fastenberg              | 11568               | LLC I             |
|                  | Long Island Vitreo-     |                     |                   |
|                  | Retinal                 | 600 Northern        |                   |
|                  | Consultants 401K        |                     |                   |
|                  | FBO Jeffrey             | 216 Great Neck,     | Beacon Associates |
| # 004837         | Shakin                  | NY 11021            | LLC I             |
| <i>"</i> 00 100. | Orianiii                | 111 11021           |                   |
|                  |                         | 20 East 9th Street, |                   |
|                  | Loretta A.              | •                   | Beacon Associates |
| # 007961         | Campbell                |                     | LLC I             |
| # 007301         | Lori Rubin Suser        | 10000-0044          | LLOT              |
|                  | DDS PC                  |                     |                   |
|                  |                         | 221 Everit Avenue   |                   |
|                  |                         | 231 Everit Avenue   | Popon Associator  |
| # 002696         | Lori Suser,             | Hewlett Harbor,     | Beacon Associates |
| # 003686         | Trustee                 | NY 11557            | LLCI              |
|                  |                         | 400 West End        |                   |
|                  |                         | Avenue, PH B        | D                 |
| # 00000 f        |                         | New York, NY        | Beacon Associates |
| # 003091         | Louis Levin             | 10024               | LLCI              |
|                  |                         | 87 Westminster      |                   |
|                  | Luana Alesio IRA        | Road Chatham,       | Beacon Associates |
| # 004071         | Rollover                | NJ 07928            | LLC I             |
|                  |                         | 2606 Bridgewood     |                   |
|                  | Lynn Ann                | Circle Boca Raton   | Beacon Associates |
| # 003250         | Weinstein               | FL 33434            | LLC I             |
|                  |                         | 869 President St.   |                   |
|                  | Madelyn Schloss         | Brooklyn, NY        | Beacon Associates |
| # 015460         | IRA                     | 11215               | LLC I             |
|                  |                         | 521 Park Avenue,    |                   |
|                  |                         | Apt. 12B New        | Beacon Associates |
| # 003267         | Maria C Janis           | York, NY 10021      | LLC I             |

| SIPC Claim # | Account Name      | Address            | Fund              |
|--------------|-------------------|--------------------|-------------------|
|              |                   | 290 West End       | · · · ·           |
|              |                   | Avenue, Apt 16C    |                   |
|              | Marjorie          | New York, NY       | Beacon Associates |
| # 003287     | Ziegelman         | 10023              | LLC I             |
| 000207       | Marjorie          | 121 Indian Trail   |                   |
|              | Ziegelman 1997    | Road               |                   |
|              | Trust - Leonard   | New Milford, CT    | Beacon Associates |
| # 004870     | Goldner, Trustee  | 06776              | LLC I             |
| ,, 00 1010   |                   | 239 Barton         |                   |
|              |                   | Avenue Melville,   | Beacon Associates |
| # 00642      | Mark Pollack      | NY 11747           | LLC I             |
|              |                   | 869 President St.  |                   |
|              | Martin Schloss    | Brooklyn, NY       | Beacon Associates |
| # 006126     | IRA Rollover      | 11215              | LLC I             |
|              |                   | 1172 Park Ave, #   | <del></del>       |
|              |                   | 9C New York, NY    | Beacon Associates |
| # 005391     | Martin Tandler    | 10128              | LLC I             |
|              |                   | 1172 Park Ave, #   |                   |
|              | Martin Tandler    | 9C New York, NY    | Beacon Associates |
| # 005389     | Roth IRA          | 10128              | LLC I             |
|              |                   | 25-43 Glenn        |                   |
|              |                   | DriveBellmore, NY  | Beacon Associates |
| # 003540     | Marvin Poster IRA | •                  | LLC I             |
|              |                   | 40 Fifth Avenue,   |                   |
| # 004306     |                   | 11B New York, NY   | Beacon Associates |
| #015372      | Maude Davis       | 10011              | LLC I             |
|              |                   | 860 United         |                   |
|              |                   | Nations Plaza-16th |                   |
|              | Melinda Bush IRA  | Fioor New York,    | Beacon Associates |
| # 002961     | Rollover          | NY 10017           | LLC I             |
|              |                   | 12 Farm Road       |                   |
|              |                   | Briarcliff Manor,  | Beacon Associates |
| #003687      | Melissa Murray    | NY 10510           | LLC I             |
|              | Michael A         | 54 Brewster Road   |                   |
|              | Cardozo IRA       | Scarsdale, NY      | Beacon Associates |
| # 004867     | Rollover          | 10583              | LLC I             |
|              |                   |                    |                   |
|              |                   | 25 Hockanum        |                   |
|              |                   | Glen Drive Beacon  | Beacon Associates |
| # 006841     | Michael Morini    | Falls, CT 06403    | LLC I             |
|              |                   | 200 East 78th      |                   |
|              |                   | Street New York,   | Beacon Associates |
| # 006666     | Michael Thea      | NY 10021           | LLC I             |
|              |                   | 60 East End        |                   |
|              | Mildred Sperling  | Avenue, #41B       |                   |
|              | c/o Robert        | New York, NY       | Beacon Associates |
| # 005378     | Sperling          | 10028              | LLC I             |
|              |                   | 45 Sutton Place    |                   |
|              |                   | South, #15b New    | Beacon Associates |
| # 015462     | Mindy Gorman      | York, NY 10022     | LLC I             |

| ·            | i  | T   | T                          |
|--------------|--|---|----------------------------|
| SIPC Claim # | Account Name                             | Address   | Fund                       |
|              |  | 166 Stone Hill<br>Road, P.O. Box<br>765 Bedford, NY | Beacon Associates          |
| # 005088     | Nancy Dunst                              | 10506   | LLC I                      |
|              | Trust of W. Adler FBO Nancy              |   |                            |
| # 004832     | Manket - Nancy & Steven Manket, Trustees | 50 Sequoia Drive<br>Watchung, NJ<br>07069           | Beacon Associates          |
| # 004032     | Trust of W. Adler                        | 07009   | LLGT                       |
| # 04E4E4     | FBO Cathy Feldman - Nancy                | 50 Sequoia Drive<br>Watchung, NJ                    | Beacon Associates          |
| # 015454     | Manket, Trustee Nathaniel &              | 07069   | LLC I                      |
|              | Francine                                 | 4436 E. Maderos                                     |                            |
|              | Fastenberg aka                           | Del Cuenta Drive                                    |                            |
|              | Nathaniel                                | Paradise Valley,                                    | Beacon Associates          |
| #006574      | Fastenberg                               | AZ 85253-4027                                       | LLCI                       |
|              | Nicky's and Judy's<br>Children's Trust - | 1270 Avenue of                                      |                            |
|              | Peter Joseph &                           | the Americas,                                       |                            |
|              | Barry Willner,                           | Suite 2200 New                                      | Beacon Associates          |
| # 004792     | Trustees                                 | York, NY 10020                                      | LLC I                      |
|              |  | 60 East End   |                            |
|              | 5<br>7                                   | Avenue, Apt. 31A                                    |                            |
|              | Paulette Z                               | New York, NY  | Beacon Associates          |
| # 008253     | Meiselas IRA                             | 10028-7946  | LLCI                       |
|              | Peters Family                            | COO Harbar Ctrast                                   |                            |
|              | Trust - Barry<br>Peters & Sandra         | 680 Harbor Street,<br>Unit 6 Venice, CA             | Beacon Associates          |
| # 003377     | Peters, Trustees                         | 90291   | LLC I                      |
|              | Rachel Mayrock                           | 7 Bostwick Lane                                     |                            |
|              | Sands IRA                                | Old Westbury, NY                                    | Beacon Associates          |
| # 005935     | Rolllover                                | 11568   | LLC I                      |
|              |  | 24 Middle Drive                                     |                            |
| # 003290     | Ralph & Lynne<br>Whipple JT              | Plandome, NY<br>11030                               | Beacon Associates<br>LLC I |
|              | Ramat Family                             | 111 Jim   |                            |
|              | Trust - Charles & Ora Ramat              | Stephenson Road                                     | Reacon Associates          |
| # 004123     | Trustees                                 | Swan Lake, NY<br>12783                              | Beacon Associates<br>LLC I |
| ,, JUT12U    | 11436663                                 | 24 Crestview  |                            |
|              |  | Avenue Madison,                                     | Beacon Associates          |
| # 004812     | Rayni Borinsky                           | NJ 07940  | LLC I                      |
|              | ·  | 100 Quentin   |                            |
|              |  | Roosevelt   |                            |
|              | DELLI G. A.                              | Boulevard, Suite                                    |                            |
| # 005040     | REI LLC - Attn:                          | 303 Garden City,                                    | Beacon Associates          |
| # 005840     | Isidore Mayrock                          | NY 11530  | LLC I                      |

| SIPC Claim # | Account Name   | Address   | Fund                       |
|--------------|--|---|----------------------------|
| # 006904     | Richard Horowitz   | 90 Wheatley Road<br>Old Westbury, NY<br>11568                                       | Beacon Associates<br>LLC I |
| # 004194     | Robert & Enid<br>Winikoff JT                                     | 19600 Planters<br>Point Drive Boca<br>Raton, FL 33434                               | Beacon Associates<br>LLC I |
| # 004878     | Robert J<br>Rosenberg  | 125 East 61st<br>Street New York,<br>NY 10021                                       | Beacon Associates<br>LLC I |
| # 003901     | Robert M Hallman   | 227 East 57<br>Street, Apt. 15 A-<br>G New York, NY<br>10022                        | Beacon Associates          |
| # 015453     | Robert Murray  | 12 Farm Road<br>Briarcliff Manor,<br>NY 10510                                       | Beacon Associates<br>LLC I |
| # 005379     | Robert Sperling IRA Rollover                                     | 60 East End<br>Avenue, #41B<br>New York, NY<br>10028                                | Beacon Associates<br>LLC I |
| # 006369     | Robertus<br>Houtenbos  | 27 Great Jones<br>Street, Apt. #2E<br>New York, NY<br>10012                         | Beacon Associates<br>LLC I |
| # 003273     | Rolf Ostern<br>Revocable Trust -<br>Ursula Pinkwater,<br>Trustee | c/o IQ<br>Management 737<br>Park Avenue, #7-<br>8B New York, NY<br>10021            | Beacon Associates<br>LLC I |
| # 008142     | Ronald & Amy<br>Goldberger JT                                    | 525 East 86th<br>Street, PH A New<br>York, NY 10028                                 | Beacon Associates<br>LLC I |
| # 008141     | Ronald<br>Goldberger IRA   | 525 East 86th<br>Street, PH A New<br>York, NY 10028                                 | Beacon Associates<br>LLC I |
| # 007078     | Samuel Ginsburg  | 70 Law Road<br>Briarcliff Manor,<br>NY 10510 United<br>States of America            | Beacon Associates<br>LLC I |
|              |  | c/o Family<br>Management<br>Corporation 485<br>Madison Ave, 19th<br>Floor New York, | Beacon Associates          |
| # 003204     | Seymour W Zises  | NY 10022  | LLC I                      |

| SIPC Claim # | Account Name       | Address              | Fund              |
|--------------|--------------------|----------------------|-------------------|
|              | Cofosia Destara    | 440 (/               |                   |
|              | Sofmic Partners    | 449 Kenwood          |                   |
|              | LP - Attn: Michael | Road Huntingdon      | Beacon Associates |
| # 004199     | Lam                | Valley, PA 19006     | LLCI              |
| İ            |                    | 125 East 84th        |                   |
|              |                    | Street, #3A New      | Beacon Associates |
| # 004127     | Stephen Solomon    | York, NY 10028       | LLCI              |
| ı            |                    | P.O. Box 1118        |                   |
|              |                    | New Canaan, CT       | Beacon Associates |
| # 003216     | Steve Raymond      | 06840                | LLCI              |
|              | Steven Berns &     | 37 Thackeray         |                   |
|              | Elizabeth Berns    | Drive Short Hills,   | Beacon Associates |
| # 015459     | TIC                | <b>N</b> J 07078     | LLC I             |
|              | ,                  | 1890 Merion Lane     |                   |
| # 011146     | Steven Mait IRA    | Coral Springs, FL    | Beacon Associates |
| #004183      | Rollover           | 33071                | LLC I             |
|              | Steven Mait        |                      |                   |
|              | Revocable Trust -  | 1890 Merion Lane     |                   |
| #011144      | Steven Mait        | Coral Springs, FL    | Beacon Associates |
| #004182      | Trustee            | 33071                | LLC I             |
|              |                    | 150 East 69th        |                   |
|              |                    | Street, apt. 4M      |                   |
|              | Steven & Karin     | New York, NY         | Beacon Associates |
| # 006677     | Stoller JT         | 10021                | LLC I             |
| <u> </u>     | Susan L Mait       |                      |                   |
|              | Revocable Trust -  | 1890 Merion Lane     |                   |
| # 004184     | Susan L Mait,      | Coral Springs, FL    | Beacon Associates |
| #011145      | Trustee            | 33071                | LLC I             |
|              | Susan Laxton       |                      |                   |
|              | QPRT Trust -       | 1172 Park Ave, #     |                   |
|              | Martin Tandler,    | 9C New York, NY      | Beacon Associates |
| # 005390     | Trustee            | 10128                | LLCI              |
|              |                    | 14 East 75th         |                   |
|              |                    | Street, #5E New      | Beacon Associates |
| # 003228     | Susan Zises        | York, NY 10021       | LLCI              |
|              |                    |                      |                   |
|              | The Andrew Masi    | 6276 S. Rainbow      |                   |
|              | Seperate Property  | Boulevard, Suite     |                   |
|              | Trust - Andrew     | 120 Las Vegas,       | Beacon Associates |
| # 003209     | Masi, Trustee      | NV 89118             | LLC I             |
|              | The Parent         |                      |                   |
|              | Company PSP -      | 1051 Angelo Drive    |                   |
| # 005978     | Gail Parent,       | Beverly Hills, CA    | Beacon Associates |
| #014091      | Trustee            | 90210-2747           | LLC I             |
|              | The Toffel Family  |                      |                   |
|              | Trust of 2007 -    | 2323 Bowmont         |                   |
|              | 11431012001 =      | LOCO DOWNION         |                   |
|              | Neile McQueen      | Drive Beverly Hills, | Beacon Associates |

| SIPC Claim # | Account Name   | Address  | Fund                        |
|--------------|--|--|-----------------------------|
| # 004134     | Thomas J Archer  | 576 Broad Hollow<br>Road Melville, NY<br>11747 United<br>States of America | Beacon Associates           |
|              | Tienshan Inc   | Ctates of 7 interior   |                             |
| # 004890     | Supplementary<br>Retirement 401K<br>FBO John<br>Braunschweig   | 2 Althea Lane<br>Darien, CT 06820  | Beacon Associates           |
| # 005566     | Tri-Lev LLC -<br>Jonathan<br>Levinson,<br>Manager  | 75 Dorchester<br>Road Scarsdale,<br>NY 10583                               | Beacon Associates<br>LLC I  |
| # 005402     | Trust of W. Adler<br>FBO Elizabeth<br>Berns - Elizabeth<br>& Steven Berns,<br>Trustees                       | 37 Thackeray<br>Drive Short Hills,<br>NJ 07078                             | Beacon Associates           |
| # 007050     | Trust U/W Stuart<br>Gorman FBO<br>Mindy Gorman -<br>Mindy Gorman,<br>Dani Gorman,<br>Ricki Penn,<br>Trustees | 45 Sutton Place<br>South, #15b New<br>York, NY 10022                       | Beacon Associates           |
| # 005492     | Wendy J Schriber   | 150 East 69th<br>Street, #8N New<br>York, NY 10021                         | Beacon Associates<br>LLC I  |
| # 003051     | Willis Ryckman   | 4475 North Ocean<br>Boulevard, #109<br>Delray Beach, FL<br>33483-7503      | Beacon Associates           |
| # 006820     | Wolff Living Trust -<br>Laurie Latt Wolff,<br>Trustee  | 294 Trenor Drive<br>New Rochelle, NY<br>10804                              | Beacon Associates<br>LLC I  |
| # 003955     | 6 in 6 Investments<br>LLC - Eric Tolkin<br>& Julie Tolkin,<br>Members  | Weston, CT 06883   | Beacon Associates<br>LLC II |
| # 003314     | Abraham<br>Kupersmith  | 3935 Blackstone<br>Avenue Bronx, NY<br>10471                               | Beacon Associates<br>LLC II |
| # 003315     | Abraham<br>Kupersmith IRA<br>Rollover  | 3935 Blackstone<br>Avenue Bronx, NY<br>10471                               | Beacon Associates<br>LLC II |

| SIPC Claim #          | Account Name             | Address             | Fund              |
|-----------------------|--------------------------|---------------------|-------------------|
| On O Oldini #         | 7 COOCHE PAINC           | 333 Sunset Drive,   | Tund              |
|                       |                          | #902 Fort           |                   |
|                       |                          | Lauderdale, FL      | Beacon Associates |
| # 006348              | Al Rothstein             | 33301               | LLC II            |
|                       |                          | 45 Sutton Place     |                   |
|                       |                          | South, Apt. #140    |                   |
|                       | Albert Ouaknine          | New York, NY        | Beacon Associates |
| # 003259              | IRA Rollover             | 10022               | LLC II            |
|                       |                          | 20100 Boca West     |                   |
|                       |                          | Drive, Unit 184     |                   |
|                       |                          | Boca Raton, FL      | Beacon Associates |
| # 004191              | Andree Horn IRA          | 33434               | LLC II            |
| # 00 <del>4</del> 131 | Andree Hominica          | 728 Battery Place   | LLO II            |
|                       |                          | •                   | Pagan Appointed   |
| # 002254              | Ann Longley CED          | Alexandria, VA      | Beacon Associates |
| # 003251              | Ann Langley SEP          | 22314               | LLC II            |
|                       |                          | 159 Cedar Street    |                   |
|                       |                          | Fort Lee, NJ        | Beacon Associates |
| #003224               | Anne Rumore              | 07024               | LLC II            |
|                       |                          | 3 Brook Bridge      |                   |
|                       | Arthur Beecher           | Road Great Neck,    | Beacon Associates |
| # 006294              | IRA Rollover             | NY 11021            | LLC II            |
|                       |                          | 302 Monterey Ave    |                   |
|                       | Bonni Benrubi &          | Pelham, NY          | Beacon Associates |
| # 007077              | Dennis Powers            | 10803               | LLC II            |
|                       |                          | 685 Deer Path       |                   |
|                       | Brian & Cathy            | Lane Yardley, PA    | Beacon Associates |
| # 005930              | Miller JT                | 19067               | LLC II            |
|                       |                          | 7 Dune Road         |                   |
|                       | Cathy Newman             | Hewlett Harbor,     | Beacon Associates |
| # 004880              | IRA Rollover             | NY 11557            | LLC II            |
|                       |                          | 150 East 69th       |                   |
|                       | Cherrywood Cove          | Street, apt. 4M     |                   |
|                       | LLC - Attention:         | New York, NY        | Beacon Associates |
| # 004881              | Steven Stoller           | 10021               | LLC II            |
|                       |                          | 27 Stratton Road    |                   |
|                       | Claude E Erbsen          | Scarsdale, NY       | Beacon Associates |
| # 004325              | IRA Rollover             | 10583               | LLC II            |
| 00 1020               |                          |                     |                   |
|                       |                          | 16 Seymour Place    |                   |
|                       | David Abramson           | White Plains, NY    | Beacon Associates |
| # 003241              | IRA Rollover             | 10605               | LLC II            |
| # UUJZ41              | II VY I VOIIOVEI         | 10000               | LLO II            |
|                       | Dorothy Shakin           | 26910 Grand         |                   |
|                       | Dorothy Shakin           |                     |                   |
|                       | Trust - Dorothy,         | Central Parkway,    | <br> Danaan An!-t |
| # 004025              | Jeffrey, and Eric        | Apt 9k Floral Park, | Beacon Associates |
| # 004835              | Shakin, Trustees         | NY 11005-1009       | LLC II            |
|                       |                          | 5542 Fallon Court   |                   |
|                       | <b>D</b> 1 <b>C</b> 4" 1 | Charlotte, NC       | Beacon Associates |
| # 003230              | Douglas G. Albert        | 28226               | LLC II            |

| r                     |                     | T                   |                   |
|-----------------------|---------------------|---------------------|-------------------|
| SIPC Claim #          | Account Name        | Address             | Fund              |
| on o olaini #         | , toodant ranio     | 400 E. 56th Street  | i dila            |
|                       |                     | #23B New York,      | Beacon Associates |
| # 004241              | Elayne Siegman      | NY 10022            | LLC II            |
| 11 00-12-1            | Liayiic Oleginaii   | 301 East 48th       | LLO II            |
|                       | Ellen L Flynn IRA   | Street, #6J New     | Beacon Associates |
| # 005970              | Rollover            | York, NY 10017      | LLC II            |
| # 000010              | TOIIOVCI            | 485 Madison         | LLO II            |
| #003205               | ş<br>r              | Avenue, 19th Floor  |                   |
| #003202               | FM Low Volatility   | New York, NY        | Beacon Associates |
| #003202               | Fund, LP            | 10022               | LLC II            |
| #000201               | T dild, Li          | 10 West Street,     | LLO II            |
|                       | Herb & Vicki        | Apt. 20E New        | Beacon Associates |
| # 003363              | London              | York, NY 10004      | LLC II            |
| # 00000               | London              | 148 Foxwood         | LLO II            |
|                       |                     | Drive Jericho, NY   | Beacon Associates |
| # 005953              | Holly Weisman       | 11753               | LLC II            |
| n 000303              | Jack Z Brown        | 11700               | LLO II            |
|                       | Family Trust -      | 400 Morehouse       |                   |
|                       | Jack & Muriel       | Rd. Easton, CT      | Beacon Associates |
| # 003685              | Brown Trustees      | 06612               | LLC II            |
| # 003003              | DIOWII II ustees    | 33 Fernhill Road    | LLO II            |
|                       |                     | Springfield, NJ     | Beacon Associates |
|                       | Joel Sacher IRA     | 07081-3708          | LLC II            |
|                       | JUEI Sacrier IIVA   | 1908 East Bendix    | LLOII             |
|                       | Laurie              | Drive Tempe, AZ     | Beacon Associates |
| # 003276              | Manchester          | 85283               | LLC II            |
| # 003270              | Manchester          | 00200               | LLO II            |
|                       | Leonard & Manda     | 20 Oak Drive        | Beacon Associates |
| # 004788              | Kristal             | Roslyn, NY 11576    |                   |
| # 00-100              | Tariotal            | 1103iyii, 141 11070 | LLOII             |
|                       | Long Island Vitreo- |                     |                   |
|                       | Retinal             | 7 Beach Drive       |                   |
|                       | Consultants 401K    | Lloyd Harbor, NY    | Beacon Associates |
| # 003983              | FBO Barry Golub     | 11743               | LLC II            |
| # 00000               | 1 DO Darry Colub    | 11740               | LLO II            |
|                       | Long Island Vitreo- | 600 Northern        |                   |
|                       | Retinal             | Boulevard, Suite    |                   |
|                       | Consultants 401K    | 216 Great Neck,     | Beacon Associates |
| # 004817              | FBO Eric Shakin     | NY 11021            | LLC II            |
| ,, 00-1011            | . DO LIN OHAMIT     | 231 Everit Avenue   | LLO II            |
|                       |                     | Hewlett Harbor,     | Beacon Associates |
| #014693               | Lori Suser          | NY 11557            | LLC II            |
|                       |                     | 10175 Sunset        |                   |
|                       |                     | Bend Drive Boca     |                   |
|                       | Morris Motzkin      | Raton, Florida      | Beacon Associates |
| # 002984              | Revocable Trust     | 33428               | LLC II            |
| ,, 00200 <del>7</del> | Mustang             | 00720               | LLO II            |
|                       | Sportswear Inc -    | 25-43 Glenn Drive   |                   |
|                       | Marvin Poster,      | Bellmore, NY        | Beacon Associates |
| # 015450              | President           | 11710               | LLC II            |
| # U 1040U             | i residetit         | 11710               | LLO II            |

| SIPC Claim # | Account Name   | Address   | Fund                        |
|--------------|--|---|-----------------------------|
| #011676      | Sue Nathanson<br>and/or Trust UWO<br>David Nathanson<br>FBO Sue<br>Nathanson - Sue,<br>Lisa, Mitchell<br>Nathanson -<br>Trustees | One Kensington<br>Gate, Penthouse<br>24 Great Neck, NY<br>11021 | Beacon Associates<br>LLC II |
| # 007102     | Patricia A Snyder  | 613 Arctic Avenue<br>Virginia Beach, VA<br>23451                | Beacon Associates<br>LLC II |
| # 003262     | Priscilla Albrecht   | 31 Stratton Road<br>Scarsdale, NY<br>10583                      | Beacon Associates<br>LLC II |
| # 005618     | Ricelle Grossinger   | 215 East 68th<br>Street, #22D New<br>York, NY 10065-<br>5728    | Beacon Associates<br>LLC II |
| # 003905     | Richard Turyn  | 729 Seventh<br>Avenue, 17th Floor<br>New York, NY<br>10019      | Beacon Associates           |
| # 005048     | Robert W Klein<br>IRA  | 345 East 69th<br>Street, Apt. 6F<br>New York, NY<br>10021       | Beacon Associates<br>LLC II |
| # 003804     | Ronald Roose &<br>Anne Page  | 100 Hayfield Road<br>Yarmouth, ME<br>04096                      | Beacon Associates<br>LLC II |
| # 002963     | Sanford & Ellen<br>Ward JTWROS   | 1430 Broadway,<br>Suite 902 New<br>York, NY 10018               | Beacon Associates<br>LLC II |
| # 003147     | Scott Familant<br>IRA Rollover   | 127 West 79th<br>Street, Apt. 9C<br>New York, NY<br>10024       | Beacon Associates<br>LLC II |
| # 003904     | Scott & Susan<br>Klau JTWROS   | 13B Dogwood<br>Lane Westport,<br>CT 06880                       | Beacon Associates<br>LLC II |
|              | Stacey Rosenthal   | 166 Bank Street,<br>Apt. 2A New York,<br>NY 10014               | Beacon Associates<br>LLC II |
| # 003320     | Stephen & Inger<br>Sucic JT  | 1076 Westover<br>Road Stamford,<br>CT 06902                     | Beacon Associates<br>LLC II |
|              | Susan Sacher   | 33 Fernhill Road<br>Springfield, NJ<br>07081-3708               | Beacon Associates<br>LLC II |

| SIPC Claim # | Account Name              | Address                          | Fund              |
|--------------|---------------------------|----------------------------------|-------------------|
|              |                           | 201 West 70th                    | T GITG            |
|              | Wendy King<br>Lautman IRA | Street, Apt. 39F<br>New York, NY | Beacon Associates |
| # 003206     | Rollover                  | ,                                | LLC II            |
| # 003206     | Rollovei                  | 10023                            | LLC II            |
|              |                           |                                  |                   |
|              |                           | 43 Duchess Blvd                  |                   |
|              | Alan S. Samuels           | Atlantic Beach, NY               |                   |
| # 004882     | IRA                       | 11509                            | Return Fund, LP   |
|              | Ari Kiev MD PC            |                                  |                   |
|              | Defined Benefit           | 204 Chalasa                      | 1                 |
|              | Pension Plan - Ari        | 301 Chelsea                      | Marray Abaabuta   |
| # 003966     | Kiev & Phyllis            | Manor Park Ridge,                | Maxam Absolute    |
| # 003866     | Kiev, Trustees            | NJ 07656<br>68 Bradhurst         | Return Fund, LP   |
|              | Debra Kremsky-            | Avenue, Apt 8L                   |                   |
|              | Schwartz IRA              | New York, NY                     | Maxam Absolute    |
| # 003229     | Rollover                  | 10039                            | Return Fund, LP   |
| # 000ZZ0     | TOHOVE                    | 3935 Blackstone                  | retuiri una, Li   |
|              |                           | Avenue Bronx, NY                 | Maxam Absolute    |
| # 003316     | Edith Frank IRA           | 10471                            | Return Fund, LP   |
|              | Etess Family              |                                  |                   |
|              | Investment                |                                  |                   |
|              | Partnership -             |                                  |                   |
|              | Lauren Etess,             |                                  |                   |
|              | Richard Herman,           | 936 Fifth Avenue,                |                   |
|              | Bernard Eizen,            | #5A New York, NY                 | Maxam Absolute    |
| # 004781     | Trustees                  | 10021                            | Return Fund, LP   |
|              |                           | 485 Madison                      |                   |
| #003205      |                           | Avenue, 19th Floor               |                   |
| #003202      | FM Low Volatility         | New York, NY                     | Maxam Absolute    |
| #003201      | Fund, LP                  | 10022                            | Return Fund, LP   |
|              | John Savino MD            | Department of                    |                   |
|              | PC Employee               | Surgery Munger                   |                   |
|              | Pension Plan FBO          |                                  | Maxam Absolute    |
| # 006325     | John Savino               | NY 10595                         | Return Fund, LP   |
|              |                           | 73 Croton Avenue,                |                   |
|              |                           | Suite 106                        | Maxam Absolute    |
| # 003152     | John Ferrini              | Ossining, New<br>York 10562      | Maxam Absolute    |
| # 003132     | JOHN FEITH                | 610 West End                     | Return Fund, LP   |
|              |                           | Avenue, #10A                     |                   |
|              |                           | New York, NY                     | Maxam Absolute    |
| # 004871     | Laura L. Scheuer          | 10024-1605                       | Return Fund, LP   |
| 50 101 1     | Mustang                   | 10024 1000                       | rectain Fully, El |
|              | Sportswear Inc -          | 25-43 Glenn Drive                |                   |
|              | Sportswear inc -          |                                  |                   |
|              | Marvin Poster,            | Bellmore, NY                     | Maxam Absolute    |

|              | <del></del>  | <del></del>   |                                   |
|--------------|--|---|-----------------------------------|
| SIPC Claim # | Account Name   | Address   | Fund                              |
| # 005936     | Rachel Mayrock   | 7 Bostwick Lane<br>Old Westbury, NY<br>11568                            | Maxam Absolute<br>Return Fund, LP |
|              | REI LLC - Attn:  | 100 Quentin<br>Roosevelt<br>Boulevard, Suite<br>303 Garden City,        | Maxam Absolute                    |
| # 005843     | Isidore Mayrock  | c/o Family Management Corporation 485 Madison Ave, 19th Floor New York, | Return Fund, LP  Maxam Absolute   |
| # 003219     | Seymour W Zises  | NY 10022<br>c/o Family<br>Management<br>Corporation 485                 | Return Fund, LP                   |
| # 003063     | Seymour W Zises<br>1989 Trust<br>Steven Robert                       | Madison Ave, 19th<br>Floor New York,<br>NY 10022<br>68 Orchard Farm     | Maxam Absolute<br>Return Fund, LP |
| # 005089     | Charno & Lilia<br>Marie Charno<br>JTWROS                             | Road Port<br>Washington, NY<br>11050                                    | Maxam Absolute<br>Return Fund, LP |
| # 003393     | Tessler Family<br>Foundation, Inc -<br>Allan R Tessler,<br>CEO       | 1100 Pine Siskin,<br>Box 9205<br>Jackson, WY<br>83001                   | Maxam Absolute<br>Return Fund, LP |
| # 05842      | The Eliot Mayrock<br>Revocable Trust -<br>Elliot Mayrock,<br>Trustee | 519 Centre Island<br>Road Oyster Bay,<br>NY 11771-5015                  | Maxam Absolute<br>Return Fund, LP |
|              | The Kiev<br>Foundation, Inc -<br>Attn: Ari Kiev &<br>Marshall Kiev   | 81 Easton Road<br>Westport, CT<br>06880                                 | Maxam Absolute<br>Return Fund, LP |
| # 004130     | The Mountaintop<br>Foundation - Attn:<br>Andrew Zalasin              | 351 Whipporwill<br>Road Chappaqua,<br>NY 10514                          | Maxam Absolute<br>Return Fund, LP |